FACTS

WHAT DOES PRIVATE ADVISORY GROUP LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and financial information
- Investment holdings and investment experience
- Financial goals and financial history

When you are no longer our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Private Advisory Group LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Private Advisory Group share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For non-affiliates to market to you	No	We don't share

Questions? Call 425-498-2320 or visit www.privateadvisory.com

Who we are	
Who is providing this notice?	Private Advisory Group LLC

What we do	
How does Private Advisory Group LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to your personal information to those individuals who need to know the information to provide services and/or products to you.
How does Private Advisory Group LLC collect my personal information?	We collect your personal information, for example, when you ■ tell us or seek advice about your investment portfolio. ■ provide us with your income information. ■ open an account and make deposits or withdrawals.
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ We have no affiliated companies
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ Our non-affiliated business partners include The Charles Schwab Corporation, and Orion Advisor Technology.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ Private Advisory Group LLC does not jointly market

Additional Information

We may use your phone number to send you important account-related notifications and marketing messages via SMS or MMS. By providing your phone number, you consent to receive such messages from Private Advisory Group LLC.

You will only receive marketing messages if you have explicitly opted in to receive them. We do not send unsolicited marketing texts. You may also receive transactional messages necessary to service your accounts without prior opt-in.

You can opt out of receiving marketing messages at any time by replying “STOP” to any message. After opting out, you will no longer receive marketing texts, though you may still receive essential service messages.

The number of messages you receive depends on your interactions with us and your preferences. We protect your phone number and message content according to our overall privacy practices described above.

You may have privacy protections under applicable state laws, including those for California residents. To the extent the state laws apply, we will comply with them when we share information about you, and in some cases may be limited by you.

California Residents:
The California Information Privacy Act provides additional protections to control whether we share some of your personal information. In accordance with California law, we will not share information we collect about California residents with outside companies, except as permitted by law, such as with the consent of the customer or to service a customer’s accounts.